



## Model Citation Schedule of Fines

Article	Applicable Article and Standard of Practice	Fine	Ethics Training in lieu of or in addition to fine?
<b>Article 1</b>			
Failure to fully disclose and obtain consent from both parties when representing both the seller/landlord and buyer/tenant in the same transaction	Article 1, supported by Standard of Practice 1-5	<b>\$250</b>	<b>In addition to</b>
Failure to submit offers and counter-offers objectively and as quickly as possible	Article 1, supported by Standard of Practice 1-6	<b>\$250</b>	<b>In addition to</b>
Failure on the part of a listing broker to provide written affirmation that an offer was presented or written notification that the seller/landlord has waived the obligation to have the offer presented, upon written request of a cooperating broker submitting an offer.	Article 1, supported by Standard of Practice 1-7	<b>\$250</b>	<b>In addition to</b>
Failure to advise sellers/landlords of information specified in Standard of Practice 1-12 prior to entering into a listing contract	Article 1, supported by Standard of Practice 1-12	<b>\$250</b>	<b>In addition to</b>
Failure to advise buyers/tenants of information specified in Standard of Practice 1-13 prior to entering into a buyer/tenant agreement	Article 1, supported by Standard of Practice 1-13	<b>\$250</b>	<b>In addition to</b>
Accessing or using, or allowing others to access or use, a property managed or listed on terms other than those authorized by the owner or seller	Article 1, supported by Standard of Practice 1-16	<b>\$250</b>	<b>In addition to</b>

<b>Article 3</b>			
Failure to communicate a change in compensation for cooperative services prior to the time that REALTOR® submits an offer to purchase/lease the property	Article 3, supported by Standard of Practice 3-2	\$250	In addition to
As a listing broker, attempting to unilaterally modify the offered compensation with respect to a cooperative transaction after a REALTOR® has submitted an offer to purchase or lease that property	Article 3, supported by Standard of Practice 3-2	\$250	In addition to
Failing to disclose existence of accepted offers, including offers with unresolved contingencies, to cooperating brokers	Article 3, supported by Standard of Practice 3-6	\$250	In addition to
Misrepresenting the availability of access to show or inspect a listed property	Article 3, supported by Standard of Practice 3-8	\$250	In addition to
Providing access to listed property on terms other than those established by the owner or the listing broker	Article 3, supported by Standard of Practice 3-9	\$250	In addition to
<b>Article 4</b>			
Failing to disclose REALTOR®'s ownership or other interest in writing to the purchaser or their representative	Article 4 (second sentence)	\$250	In addition to
<b>Article 5</b>			
Providing professional services without disclosing REALTOR®'s present interest in property	Article 5 (limited to present interest, not contemplated)	\$250	In addition to
<b>Article 6</b>			
Accepting any commission, rebate, or profit on expenditures without client's knowledge or consent	Article 6 (first paragraph)	\$250	In addition to
Failure to disclose to a client or customer REALTOR®'s financial benefits or fees received as a direct	Article 6 (second paragraph)	\$250	In addition to

result of recommending real estate products or services			
Failure to disclose REALTOR®'s direct interest in an organization or business entity when recommending to a client or customer that they use the services of that organization or business entity	Article 6, supported by Standard of Practice 6-1	<b>\$250</b>	<b>In addition to</b>
<b>Article 12</b>			
Failing to present a true picture in real estate communications and advertising	Article 12	<b>\$250</b>	<b>In addition to</b>
Failing to disclose status as real estate professional in advertising and other representations	Article 12	<b>\$250</b>	<b>In addition to</b>
Failure to provide all terms governing availability of a "free" product or service in an advertisement or other representation	Article 12, supported by Standard of Practice 12-1	<b>\$250</b>	<b>In addition to</b>
Failure to disclose potential to obtain a benefit from third party when REALTOR® represents their services as "free" or without cost	Article 12, supported by Standard of Practice 12-2	<b>\$250</b>	<b>In addition to</b>
Failure to exercise care and candor when communicating the terms and conditions of premiums, prizes, merchandise discounts or other inducements to list, sell, purchase, or lease	Article 12, supported by Standard of Practice 12-3	<b>\$250</b>	<b>In addition to</b>
Advertising property for sale/lease without authority of owner or listing broker	Article 12, supported by Standard of Practice 12-4	<b>\$250</b>	<b>In addition to</b>
Failing to disclose name of firm in advertisement for listed property	Article 12, supported by Standard of Practice 12-5	<b>\$250</b>	<b>In addition to</b>
Failing to disclose status as both owner/landlord and REALTOR® or licensee when advertising property	Article 12, supported by Standard of Practice 12-6	<b>\$250</b>	<b>In addition to</b>

in which REALTOR® has ownership interest			
Falsely claiming to have “sold” property	Article 12, supported by Standard of Practice 12-7	<b>\$250</b>	<b>In addition to</b>
Failure to take corrective action when it becomes apparent that information on a REALTOR®’s website is no longer current or accurate	Article 12, supported by second sentence of Standard of Practice 12-8	<b>\$250</b>	<b>In addition to</b>
Failure to disclose firm name and state of licensure on REALTOR® firm website	Article 12, supported by Standard of Practice 12-9	<b>\$250</b>	<b>In addition to</b>
Misleading consumers through deceptive framing, manipulating content, deceptively diverting internet traffic, presenting other’s content without attribution or permission, or using misleading images	Article 12, supported by Standard of Practice 12-10	<b>\$250</b>	<b>In addition to</b>
Registering or using of deceptive URL or domain name	Article 12, supported by Standard of Practice 12-12	<b>\$250</b>	<b>In addition to</b>
Representing that the REALTOR® has a designation, certification, or other credential they are not entitled to use	Article 12, supported by Standard of Practice 12-13	<b>\$250</b>	<b>In addition to</b>
<b>Article 14</b>			
Failing to cooperate in a professional standards proceeding or investigation in circumstances when cooperation has been demanded by the association and association has advised REALTOR® failure to cooperate could result in an allegation of a violation of Article 14	Article 14	<b>\$500</b>	<b>In addition to</b>
<b>Article 16</b>			
Placing for sale/lease sign on property without permission of seller/landlord	Article 16, supported by Standard of Practice 16-19	<b>\$250</b>	<b>In addition to</b>